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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Agere Systems Gaurdian Corp.
Application No./Patent No.: 09/096,425 Filed/Issue Date: June 11, 1998
Entitled: ENHANCED ECHO CANCELER
Agere Systems Gaurdian Corp., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☒ the assignee of the entire right, title, and interest; or
- 2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- 1. From: Raja Banerjee et al To: Lucent Technologies Inc
The document was recorded in the United States Patent and Trademark Office at Reel 9253, Frame 0914, or for which a copy thereof is attached.
- 2. From: Lucent Technologies Inc. To: Agere Systems Gaurdian Corp.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- 3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

03/22/2001
Date

THOMAS STAFFORD
Typed or Printed Name
Thomas Stafford
Signature
Attorney, Reg. No. 24767
Title

Serial No.: 09/096,425
Issue Batch No.: A05
Allowed: December 29, 2000

EXECUTION COPY

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Otel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Mar-07-2001 11:03am From-LUCENT

T-081 P.004/010 F-217

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

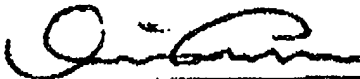
ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

Mar-07-2001 11:04am From-LUCENT T.

T-081 P.005/010 F-217

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

Mar-07-2001 11:04am From:LUCENT 1.

T-091 P.006/010 F-217

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)
: ss:
COUNTY OF SOMERSET)

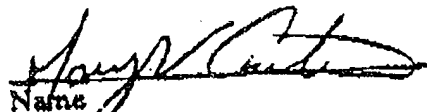
I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and
b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



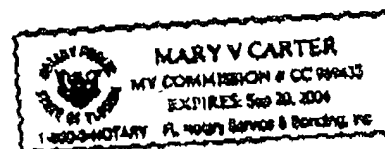
Name TAMORA ANNE HANNA
Notary Public Notary Public of New Jersey
My Commission Expires Registered in Hunterdon County
[Notarial Seal] My Commission Expires March 25, 2002

STATE OF FLORIDA)
: ss:
COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name
Notary Public
My Commission Expires:
[Notarial Seal]



Mar-07-2001 11:04am From-LUCENT

T-001 P.007/010 F-217

Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Balskrishnan 1-7	1/29/1999			09/240005
Banerjee 4-3-4-1	8/11/1998		09/096425	
Banerjee 5-8-10-5	8/30/2000			09/607619
Banerjee 1-14	4/30/1998		09/069733	
Banerjee 2-2-20	1/19/2000			09/458069
Banik 1-50-72-28-1	8/31/1999			09/386514
Banik 2-73	2/28/2000			09/614489
Banik 3-92-6-21-83-15-42-7	11/23/1999			09/447286
Banu 10	9/29/2000		09/675400	
Banu 11-14	8/28/2000		60/229393	
Banu 9-1	11/30/1999			09/451044
Bao 10	4/23/1999			09/298575
Bao 12-23-34-13-21	9/5/1999			09/391729
Bao 13-22	9/9/1999			09/393032
Bao 14-3	4/8/2000			09/543808
Bao 2-12-1-8	12/20/1998		08/770535	
Bao 3	11/24/1997		08/975849	
Bao 7	12/11/1998			09/204002
Bao 9-1-13	5/5/1999			09/305722
Barakat 1-1	9/5/1997		08/924807	
Barakat 2-2	2/3/2000			09/499609
Barazesh 1-1	8/5/1997		08/908818	
Barazesh 4-10-3-1	6/22/1999			09/337587
Barazesh 5-12-4-2	6/22/1999			09/338554
Barazesh 6-13-5-3	6/22/1999			09/338134
Barba 1-3-2-14-12	8/8/1999			09/370422
Barber 10-14-8-1	8/30/1999			09/385745
Barber 11-15-50	5/16/2000			09/571919
Barber 12-2	2/4/2000			09/496472
Barber 13-5-4	2/4/2000			09/497993
Barber 14-6-5-5	2/4/2000			09/497880
Barber 15-7	12/17/1998			09/465880
Barber 16-16-52	11/11/1999			09/432040
Barber 17-53	11/11/1999			09/431772
Barber 18-3	2/11/2000			09/503225
Barber 19-3-2-19-87	5/23/2000			09/575807
Barber 20-17-1-2-58	9/26/2000			
Barber 22-2-21	9/26/2000		09/689944	
Barber 23-18-22	10/31/2000		09/702713	
Barber 7-10-2	7/18/1999			09/354711
Barber 9-25-11-1	9/12/1999		09/152185	
Barber 9-1-25-12	9/12/1999		09/152189	
Barnwell 1-1	9/2/1999			09/368455
Baron 3-32-39-3	8/11/2000		09/638670	
Basavapats 3-10	2/2/1998		09/017174	
Bastak 2-2-1	12/15/1999			09/481609
Battogg 12-1-1	11/17/1999			09/441751
Batten 1-4-3-3-1	5/18/1998		09/080787	
Batten 2-3-4-5-2-3-1	9/14/1998		09/152744	
Batten 3-6-5-6-3-2	10/19/1998			09/174936
Batten 4-7-5-7-4-3	12/4/1998			09/205468
Batten 5-9-5-10-4	3/22/1999			09/274134
Batten 6-10-9-11-5	3/22/1999			09/274129
Batten 7-11-10-12-6	3/22/1999			09/274133
Baudendistel 1	9/2/1998		09/146324	
Baumhauer 14-10	7/24/1998		08/122582	
Bautista 1-1-1-1-1-1	2/11/2000			09/502265
Bautista Villares 1-1-1	10/29/1999			09/430759
Bayer 1	2/24/1998		09/030055	
Bayer 3	5/8/1998	7/4/2000	6084442	

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

AUGUST 27, 1998

PTAS

THOMAS STAFFORD
4173 ROTHERHAM COURT
PALM HARBOR, FLORIDA 34685

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RECORDATION DATE: 06/11/1998

REEL/FRAME: 9253/0914

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BANERJEA, RAJA

DOC DATE: 06/08/1998

ASSIGNOR:

BARAZESH, BAHMAN

DOC DATE: 06/08/1998

ASSIGNOR:

LAI, YHEAN-SEN

DOC DATE: 06/08/1998

ASSIGNOR:

RAJAMANI, KANNAN

DOC DATE: 06/08/1998

ASSIGNEE:

LUCENT TECHNOLOGIES INC.

600 MOUNTAIN AVENUE

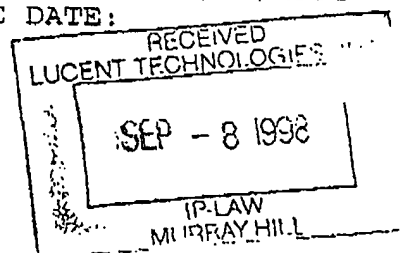
MURRAY HILL, NEW JERSEY 07974-0636

SERIAL NUMBER: 09096425

PATENT NUMBER:

FILING DATE: 06/11/1998

ISSUE DATE:



9253/0914 PAGE 2

KIMBERLY WHITE, EXAMINER
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06-24-1998

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Reel # Frame #

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- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**
- U.S. Government**
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- ☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)☒ Mark if additional names of conveying parties attached

Name (line 1) Raja Banerjea

Execution Date
Month Day Year
06/08/98Name (line 2) **Second Party**

Name (line 1) Bahman Barazesh

Execution Date
Month Day Year
06/08/98Name (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attached

Name (line 1) Lucent Technologies Inc.

Name (line 2)

Address (line 1) 600 Mountain Avenue

Address (line 2) P. O. BOX 636

Address (line 3) Murray Hill

New Jersey

07974-0636

City

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Correspondent Name and Address

Area Code and Telephone Number 813-772-4173

Name Thomas Stafford

Address (line 1) 4173 Rotherham Court

Address (line 2) Palm Harbor, Florida 34685

Address (line 3)

Address (line 4)

Pages

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Application Number(s) or Patent Number(s) 09/096425

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Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

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Enter PCT application number
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Number of Properties

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1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
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Thomas Stafford

Name of Person Signing

Signature

June 11, 1998

Date

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Execution Date
Month Day Year

06/08/98

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Name (line 1) Kannan Rajamani

Execution Date
Month Day Year

06/08/98

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

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State/Country

Zip Code

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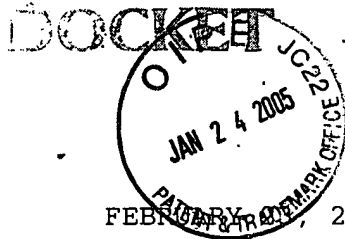
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Patent Number(s)

523 U.S. PTO
09/09/98
06/11/98



FEBRUARY 2, 2001



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RECORDATION DATE: 11/13/2000

REEL/FRAME: 011331/0940
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHADHA, MANDEEP SINGH

DOC DATE: 10/26/2000

ASSIGNOR:

MCCASLIN, SHAWN ROBERT

DOC DATE: 10/25/2000

ASSIGNOR:

MILISAVLJEVIC, MILE

DOC DATE: 10/25/2000

ASSIGNEE:

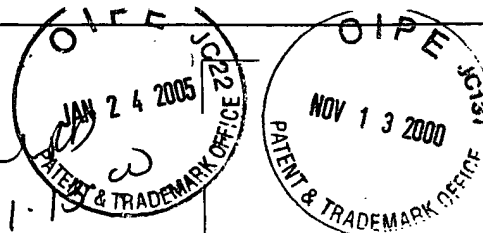
LUCENT TECHNOLOGIES, INC.
600 MOUNTAIN AVENUE
A CORPORATION OF DELAWARE
MURRAY HILL, NEW JERSEY 07974-0636

SERIAL NUMBER: 09650853
PATENT NUMBER:

FILING DATE: 08/29/2000
ISSUE DATE:

011331/0940 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
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12-15-2000

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Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
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Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
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10 26 2000

Name (line 1) Mandeep Singh Chadha

Name (line 2)

Second Party

Name (line 1) Shawn Robert McCaslin

Execution Date
Month Day Year
10 25 2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Lucent Technologies, Inc.

Name (line 2) a Corporation of Delaware

Address (line 1) 600 Mountain Avenue

Address (line 2)

Address (line 3) Murray Hill

New Jersey

07974-0636

City

State/Country

Zip Code

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Page 2

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Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number (972) 480-8800

Name Glenn W. Boisbrun

Address (line 1) Hitt Gaines & Boisbrun, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)

09/650,853

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Month Day Year
10 26 2000

Patent Cooperation Treaty (PCT)

Enter PCT application number
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PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

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Glenn W. Boisbrun

Name of Person Signing


Signature

11/07/2000

Date

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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Mile Milisavljevic

Execution Date
Month Day Year

10 25 2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

For value received, We, Mandeep Singh Chadha, a citizen of India and resident of Austin in the County of Travis and the State of Texas, and Shawn Robert McCaslin, of Buda in the County of Hays and the State of Texas, and Mile Milisavljevic, a citizen of Serbia and resident of Austin in the County of Travis and the State of Texas, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "ECHO CANCELING SYSTEM FOR A BIT PUMP AND METHOD OF OPERATING THE SAME" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

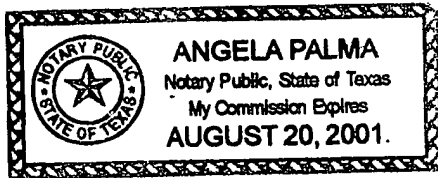
We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

M. S. Chadha
Mandeep Singh Chadha

STATE OF Texas
COUNTY OF Travis

§
§
§

On this 24 day of October, 2000, before me personally came Mandeep Singh Chadha, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Angela Palma
Notary Public

My Commission Expires:
8/20/01

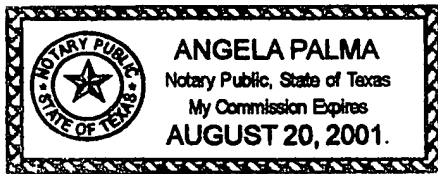
Mile Milisavljevic
Mile Milisavljevic

STATE OF TEXAS

§
§
§

COUNTY OF TRAVIS

On this 25 day of OCTOBER, 2000, before me personally came Mile Milisavljevic, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Angela Palma
Notary Public

My Commission Expires:

08/20/01

Lucent Technologies, Inc.
600 Mountain Avenue (Room 3C-512)
P.O. Box 636
Murray Hill, New Jersey 07974-0636

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